

Indemnity Agreement

(CFR Part 240 Rule 15a-6 Compliance)

PARTIES

(1) **Capital Markets Elite Group Limited**, a company incorporated under the laws of Trinidad and Tobago, with its registered office 22-24 Victoria Avenue, Queens Park Savannah Albion Plaza, Port of Spain, Trinidad and Tobago W.I. with registered company number C2013070804456 (“**Capital Markets Elite Group**”) TTSEC number CF-8542; and

(2)..... A U.S Citizen with an individual residing at address:

(the “**U.S. Customer**”).

WHEREAS:-

1. Capital Markets Elite Group’s services involve providing brokerage and dealing services in connection with investments including shares and share options, debentures, warrants, futures, exchange traded funds, units in collective investment schemes, contracts for differences and forex spot contracts.
2. Capital Markets Elite Group is authorized and regulated by the Trinidad and Tobago Securities and Exchange Commission in Trinidad and Tobago and has permission under the Securities Act 2012 to offer and provide its services to customers and counterparties.
3. Capital Markets Elite Group can only accept a U.S. Person/U.S. Customer if he or she has not been solicited either directly or indirectly through accessing their websites or otherwise, under the ‘unsolicited’ exemption of the CFR Part 240 Rule 15a-6.

4. The U.S. Customer wishes to engage some or all the services of Capital Market Elite Group described in 1. above.

I..... the U.S. Customer, **DO HEREBY CERTIFY** that:

- (a) I have not been solicited either directly or indirectly through accessing their websites or otherwise by Capital Market Elite Group, and;
- (b) That I have approached the said Capital Markets Elite Group on my volition.

Dated this..... day of.....20.....

Signed:

U.S. Customer

In the presence of:

NAME:.....

ADDRESS:.....

.....

OCCUPATION:.....

SIGNATURE:.....